
Supplier Minimum Control Requirements – 2020 Updates

December 2020

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Introduction

This change log references the JPMorgan Chase & Co. [Minimum Control Requirements](#) document (MCR), published in December of 2020. It specifies material changes made in this update cycle to facilitate comparison with the prior version. Use this change log as a guide and refer to the Minimum Control Requirements document itself for the exact wording of the controls.

Section 1: Holistic Changes to the Minimum Control Requirements

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Section 1: Holistic Changes

Subject of Change	Control Domain(s)	Description
Rename Media and Vital Records	Media and Vital Records	<ul style="list-style-type: none">The Media and Vital Records control domain has been renamed to "Records Management" to be consistent with JPMC control domains.

Section 2: Changes to Specific Control Domains

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Subject of Change	Control Domain(s)	Description
Data Protection	<ul style="list-style-type: none"> Minor addition to control statement 	<ul style="list-style-type: none"> JPMC Confidential Information must be protected and encrypted in transit and at rest (including in backup) as well when shared with Supplier's subcontractors.
Identity and Access Management	<ul style="list-style-type: none"> Addition of 1 new control statement for Multi-factor authentication 	<ul style="list-style-type: none"> Multi-factor authentication must be implemented for: <ul style="list-style-type: none"> The initiation of any privileged access session and/or retrieval of credentials with privileged access External connectivity to the JPMC network Applications directly accessible from the internet
Security Configuration	<ul style="list-style-type: none"> Minor change to 2 existing control statements Addition of 3 new control statements Removal of 3 existing control statements 	<ul style="list-style-type: none"> See MCR Document for specific language
Security Operations	<ul style="list-style-type: none"> Change to 2 existing control statements Addition of 2 new control statements 	<ul style="list-style-type: none"> See MCR Document for specific language

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Subject of Change	Control Domain(s)	Description
Vulnerability Management	<ul style="list-style-type: none"> • Change to 2 existing control statements • Removal of 3 existing control statements 	<ul style="list-style-type: none"> • Supplier must continuously gather vulnerability intelligence data in information and analyze vulnerabilities in light of existing and emerging threats as well as actual attacks that may impact their systems. • Any critical vulnerabilities identified during vulnerability scans or penetration testing must be prioritized and remediated within a well-defined and reasonable timeframe commensurate with the vulnerability risk.
Technology Development	<ul style="list-style-type: none"> • Minor change to 4 existing control statements, changing “Technology Development Life Cycle” to “System Development Life Cycle” • Addition of 1 new control statement 	<ul style="list-style-type: none"> • A procedure must be documented and enforced to remediate vulnerabilities and design flaws prior to production using a risk-based approach.
Media and Vital Records Records Management	<ul style="list-style-type: none"> • Removal of all existing control statements • Addition of 4 new control statements 	<ul style="list-style-type: none"> • See MCR Document for specific language
Data	<ul style="list-style-type: none"> • Minor change to 1 existing control statement • Addition of 1 new control statement 	<ul style="list-style-type: none"> • Controls must ensure that any data stored, received, controlled, or otherwise accessed (including any data provided to JPMC) is accurate and reliable. • Supplier must be able to demonstrate data origination.

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Technology Asset Management	<ul style="list-style-type: none"> Removal of all existing control statements Addition of 4 new control statements 	<ul style="list-style-type: none"> See MCR Document for specific language
Incident and Event Management	<ul style="list-style-type: none"> Addition of 2 new control statements 	<ul style="list-style-type: none"> Supplier's event management policy and procedures must account for the identification of anomalous events that indicate deviation from the norm beyond a defined threshold. Supplier must also process and analyze events to determine if action is required, and to engage JPMC via the Incident Management process.
Business Resiliency	<ul style="list-style-type: none"> Addition of 3 new control statements 	<ul style="list-style-type: none"> See MCR Document for specific language
Technology Resiliency	<ul style="list-style-type: none"> Change to 1 existing control statement Addition of 4 new control statements 	<ul style="list-style-type: none"> See MCR Document for specific language
Organizational Security	<ul style="list-style-type: none"> Change to 1 existing control statement Addition of 2 new control statements 	<ul style="list-style-type: none"> Supplier personnel assigned to JPMC Services must be provided a copy of the JPMC Supplier Code of Conduct Supplier personnel must notify JPMC in the event of any potential, perceived or actual conflicts of interest between Supplier personnel's outside business activities and personal relationships and JPMC business, clients, or employees. Supplier must provide training to Supplier Personnel on job responsibilities, and ensure Supplier personnel complete any assigned JPMC training.

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Customer Contact	<ul style="list-style-type: none">• Change to 2 existing control statements• Addition of 1 new control statement	<ul style="list-style-type: none">• See MCR Document for specific language