

2020 Equator Principles Report

Scope

This document has been prepared to meet JPMorgan Chase & Co.'s (the "Firm") reporting obligations under the Equator Principles (EP).

The reporting period covered is the calendar year 2020.

Data Reporting¹

Project Finance Advisory Services Data

No Project Finance Advisory Services transactions were mandated during the reporting period.

Project-Related Refinance

No Project-Related Refinance transactions reached financial close during the reporting period since changes to EP that came into effect in October 2020 (EP4).

Project-Related Acquisition Finance

No Project-Related Acquisition Finance transactions reached financial close during the reporting period under EP4.

Project Finance Data

A summary of Project Finance transactions that reached financial close during the reporting period is presented below:

Category ²	Sector	Region	Country Designation ³	Independent Review ⁴	TOTAL
A	Power	Asia Pacific	Non Designated	Yes	1
A	Infrastructure	Americas (LATAM)	Non Designated	Yes	1
A	Oil & Gas	Americas (NA)	Designated	Yes	1
B	Oil & Gas	Americas (NA)	Designated	Yes	1
					4

¹ All definitions are referenced directly from The EP wording and Exhibit I: Glossary of Terms.

² The category is based on the magnitude of the Project's potential environmental and social risks and impacts. Such screening is based on the environmental and social categorization process of the International Finance Corporation.

³ Designated Countries are those countries deemed to have robust environmental and social governance, legislation systems and institutional capacity designed to protect their people and the natural environment. The list of [Designated Countries](#) can be found on the EP Association website.

⁴ Independent Review is a review of the Assessment Documentation including the Environmental and Social Management Plans, Environmental and Social Management System and Stakeholder Engagement process documentation carried out by an Independent Environmental and Social Consultant.

Project-Related Corporate Loans Data

A summary of Project-Related Corporate Loan transactions that reached financial close during the reporting period is presented below:

Category ⁵	Sector	Region	Country Designation ⁶	Independent Review ⁷	TOTAL
A	Power	Asia Pacific	Non Designated	Yes	1
A	Infrastructure	EMEA	Non Designated	Yes	1
					2

Implementation Reporting

The Firm's publicly available Environmental and Social Policy Framework provides an overview of the Firm's approach to evaluating risks posed by environmental and social matters including transactions subject to EP due diligence. Our due diligence efforts are coordinated by a dedicated environmental and social risk management group (GESRM), which reports directly into the Firmwide Risk Executive for Reputation Risk. In cases where significant issues are identified, EP transactions may be escalated to one of the Firm's Reputation Risk Committees. Lines of Businesses are responsible for referring relevant EP transactions to GESRM for consideration and review, and working with GESRM to fulfil EP obligations⁸.

⁵ The category is based on the magnitude of the Project's potential environmental and social risks and impacts. Such screening is based on the environmental and social categorization process of the International Finance Corporation.

⁶ Designated Countries are those countries deemed to have robust environmental and social governance, legislation systems and institutional capacity designed to protect their people and the natural environment. The list of [Designated Countries](#) can be found on the EP Association website.

⁷ Independent Review is a review of the Assessment Documentation including the Environmental and Social Management Plans, Environmental and Social Management System and Stakeholder Engagement process documentation carried out by an Independent Environmental and Social Consultant.

⁸ The Firm's internal escalation process is further articulated in the [Environmental and Social Policy Framework](#).