JPMORGAN CHASE & CO.

Pre-Engagement Screening (PES) Process Guide

July 2023

Category 2 Suppliers

Important Reminders

These instructions are to be followed for select Contingent Workers who do not require an ID badge but provide a service to JPMC that may require them to have access to JPMC data or property or its customers (tangible or intangible) and who are not considered Category 1 Supplier Personnel

Screening requires workers to get fingerprinted for a criminal background check

Please note that additional screening will be required if access to JPMC systems and/or ID badge is needed

All Personnel must be fingerprinted and cleared by Global Workforce Screening prior to their assignment with JPMorgan Chase

JPMC will only disclose eligibility for assignment at JPMorgan Chase – no details of the screening results will be provided to the Supplier

United States Pre-Engagement Screening Instructions

Pre-Requisites:

When filling out the application, follow these important instructions:

- Use full legal name on application
- Confirm you entered the correct Social Security Number
- You MUST include an applicable JPMC Cost Center (see Supplier for this information)
- Provide Agency name; the company you are directly employed by

Step 1: Complete worker information and capture screening consents:

- 1. Supplier instructs worker to visit Application Station 2.0 site
- 2. Enter code FPCVENDOR in the Application Station Code section
- 3. Complete all required fields, and sign the consent
- 4. Submit Application once submitted, the screen will present a Fieldprint code for step 2

Step 2: Schedule Fingerprint Appointment:

1. Follow instructions at the end of Application Station to schedule fingerprint appointment

***Note**: The screening turn around time varies from 2 to 10 business days or more, depending upon the worker's responsiveness to any request for additional information

^{*}Note: This step must always be performed <u>BEFORE</u> scheduling an appointment in step 2 as this is a regulatory and JPMC requirement.

Welcome to ApplicationStation ®

Step 1:

Access the Application Station website and select SIGN UP

Sign up

If you are new to our system, sign up to start a form.

Sign up

Returning user login

Enter your previously created username and password to complete or submit a saved form or to submit a new form. If you have not already set up an account, please select "Sign up".

Log in

This is a restricted computer system. It is for authorized use only. Use of this system constitutes consent to security monitoring and auditing.

Unauthorized or improper use of the system is prohibited and may be subject to criminal and/or civil penalties.

Step 2:

Read and sign the Disclosure and Consent form



E-SIGN Act Disclosure and Consent ("Consent Agreement")

Pursuant to the Federal Electronic Signatures in Global and National Commerce Act ("E-Sign Act"), you have a right to receive any disclosures or notices in a non-electronic form. Before providing electronic signatures or obtaining legally required disclosures and notices electronically, please review and indicate your acceptance of the terms below. If you do not accept these terms or do not agree to the use of electronic disclosures and signatures, we will provide you with, or make available to you, any required disclosures on paper or non-electronic form at no additional charge to you.

1. Intent to Use Electronic Signatures

By clicking the "I AGREE" button below—which you hereby adopt as your electronic signature—you affirmatively consent and agree that you are signing this Consent Agreement electronically and your electronic signature on agreements and documents has the same effect as if you signed them in ink. You further agree that your electronic signature is the legal equivalent of your manual signature and will continue to be throughout the background screening process.

By clicking the "I AGREE" button below, you agree to the use of electronic signatures, such as your act of clicking, checking or otherwise manifesting your assent throughout the background screening process. You further agree that your use of a key pad, mouse or other device to select an item, button, icon or similar act/action, or in providing or making any agreement, acknowledgement, or consent constitutes your signature (hereafter referred to as "E-Signature"), acceptance and agreement as if actually signed by you in writing. You also agree that no certification authority or other third party verification are third party.

You can download the "Consent Agreement" as a PDF file.

Consent Agreement.pdf (46 K)
Download

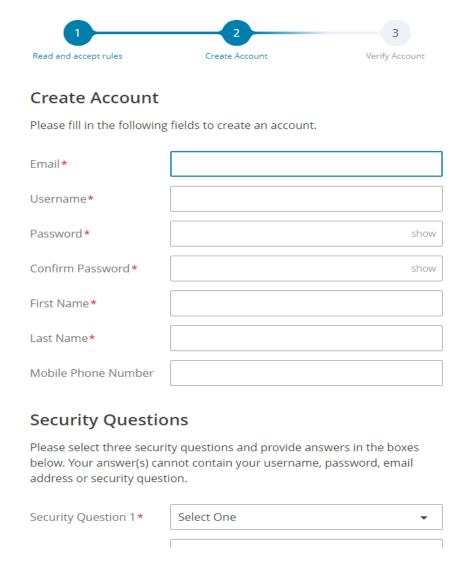
I do not agree

I agree

Step 3:

Create account by filling out the required fields.

Select 3 security questions to provide answers to



Step 4:

Enter the verification code that was sent to the email address that was provided on the previous screen



Verify Account

An email has been sent to your provided email address. The subject of the email will be "ApplicationStation Account Verification" and will arrive from email sender auth@verticalscreen.com.

Please follow the directions in the email to continue creating your account. You may need to check your Junk or Spam folder.

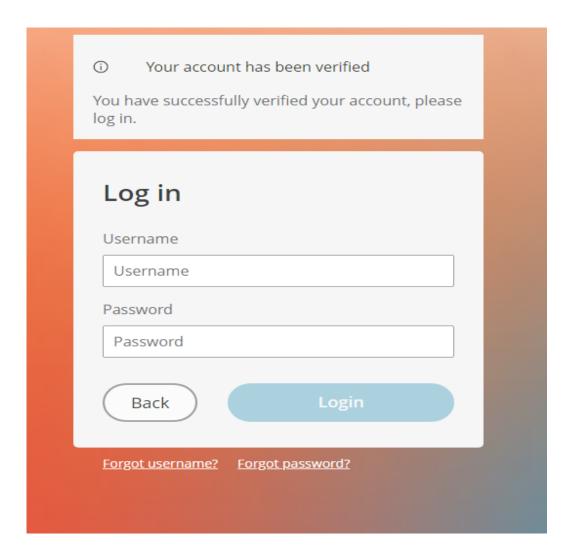
i Please do not close your browser.

If your browsing session closes, please log back in using your username and password and enter the 8-digit **Verification Code** emailed to you at the email address provided during account creation. This **Verification Code** will expire after 30 minutes.

Verification Code *	Your 8-digit code
Didn't receive an email? Cl	lick here to resend email.

Complete Registration

Step 5: Log into your account



Step 6:

Select Start New Form

My Forms

Below you can view completed forms, continue with a previously started form or submit a new form.

If your form is In Progress - this means that it has not yet been submitted and can still be changed. Click on the Continue button to finish and submit the form.

If your form is Completed - this means it has been submitted and cannot be changed. Click on the View button to review the completed and submitted form.

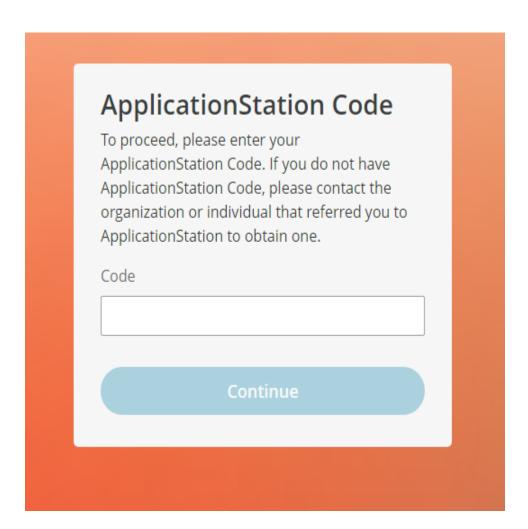
Start a New Form - You are able to start a new form as long as you do not have one in progress for the same Application Station Code. Click the Start New Form button to begin a new form.

Forms

+ Start New Form

Step 7:

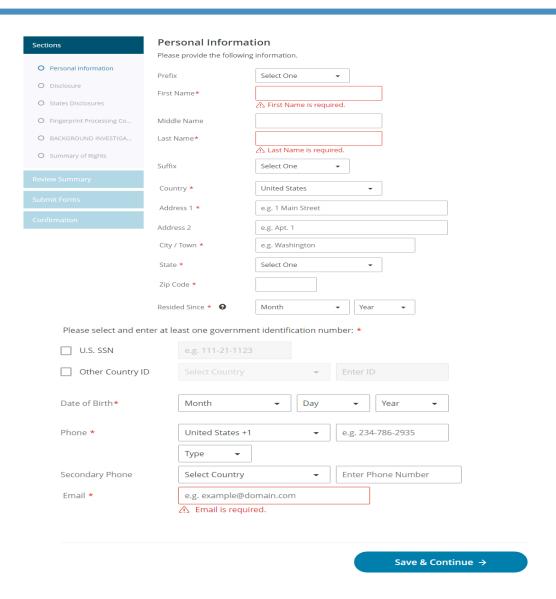
Enter in Application Station Code: FPCVENDOR



Step 8:

Fill out all required personal information.

Note: Must use legal name and correct Social Security Number or Government Identification Number



J.P.Morgan

Step 9:

Read and acknowledge the Background Screening Disclosure.

Select Save and Continue

Disclosure

BACKGROUND SCREENING DISCLOSURE [FOR EMPLOYMENT PURPOSES]

Please be advised that a consumer report may be obtained on you for employment purposes (which includes independent contractors under the Fair Credit Reporting Act (FCRA)).

Consumer reports may be obtained at any time after the company receives your written authorization, including during the hiring process; and, during any subsequent period of employment you may have with the company, where permitted by law.

Under the FCRA, consumer reports include any written, oral or other communication of information by a consumer reporting agency bearing on your credit worthiness, credit standing, credit capacity, character, general reputation, personal characteristics, or mode of living that is used or is expected to be used for employment purposes. Consumer reports may include credit reports, criminal records and driving records, among other forms of information obtained from private and public record sources.

← Back	Save & Continue →
-	
I acknowledge that I have read the information above.*	

Step 10:

Read and acknowledge the State Disclosures.

Select Save and Continue

States Disclosures

Please be advised that a consumer report and/or investigative consumer report may be obtained on you for employment purposes. The consumer reporting agency that may provide the company with your report is:

BUSINESS INFORMATION GROUP, INC.

P.O. Box 541

Southampton, PA 18966

bigreport.com

(800) 260-1680

BIG's privacy practices with respect to the preparation and processing of consumer reports and/or investigative consumer reports may be found at http://www.bjgreport.com/privacy-policy/.

For Massachusetts Applicants & Residents

You have the right, upon request, to know whether the company ordered an investigative consumer report about you. You also have the right to ask the consumer reporting agency for a copy of any such report.

For Minnesota Applicants & Residents

You have the right in most circumstances to submit a written request to the consumer reporting agency for a complete and accurate disclosure of the nature and scope of any consumer report the company ordered about you. The consumer reporting agency must provide you with this disclosure within five (5) business days after its receipt of your request or the report was requested by the company, whichever date is later. If an investigative consumer report is obtained, such a report may include information obtained through personal interviews regarding your character, general reputation, personal characteristics, or mode of living.

For New Jersey Applicants & Residents

You have the right to submit a request to the consumer reporting agency for a copy of any investigative consumer report the company ordered about you.

For New York Applicants & Residents

You have the right, upon written request, to be informed of whether or not a consumer report and/or investigative consumer report was requested. If a consumer report is requested, you will be provided with the name and address of the consumer reporting agency furnishing the report.

For Washington Applicants & Residents

If we request an investigative consumer report, you have the right, upon written request made within a reasonable period of time, to receive from us a complete and accurate disclosure of the nature and scope of the investigation. You are entitled to this disclosure within five business days after the date your request is received or we ordered the report, whichever is later. You have the right to request from the consumer reporting agency a summary of your rights and remedies under state law.

California, Minnesota, and Oklahoma Applicants & Residents:

You have the right to receive a free copy of your background report. Please check the box below if you would like a free copy of your report
☐ I would like a free copy of my report.
☐ I acknowledge that I have read the information above.*



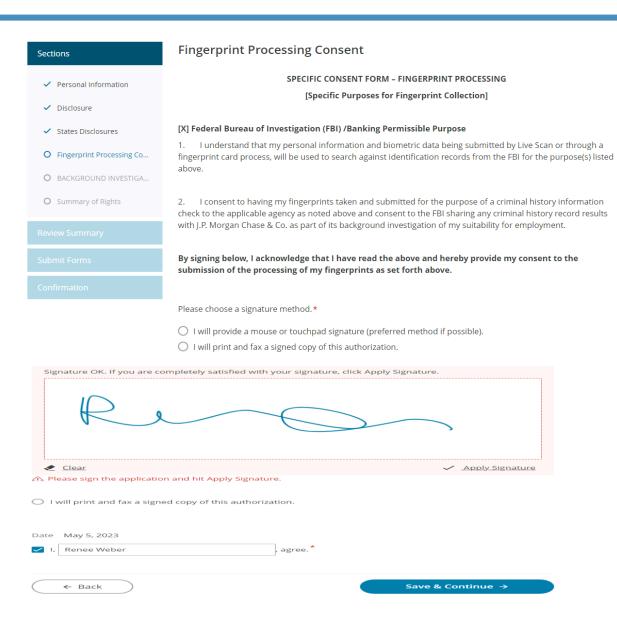
Save & Continue →



Step 11:

Read and e-sign the Fingerprint Processing Consent form.

Select Save and Continue





Step 12:

Read and e-sign the Background Investigation Authorization/Disclosure.

Select Save and Continue

BACKGROUND INVESTIGATION AUTHORIZATION/DISCLOSURE

CONSUMER REPORT DISCLOSURE AND AUTHORIZATION UNDER THE FEDERAL FAIR CREDIT REPORTING ACT

PLEASE TAKE NOTICE THAT JPMORGAN CHASE MAY OBTAIN A CONSUMER REPORT ON YOU

JP Morgan Chase & Co, as well as any of its affiliates and subsidiaries (collectively referred to as "JPMorgan Chase"), may obtain a consumer report on you for purposes of assessing your eligibility for assignment to JPMorgan Chase.

The consumer report will be obtained from Business Information Group, Inc., P.O. Box 541, Southampton, PA 18966, with a toll-free telephone number of (800) 260-1680. A consumer report under the Fair Credit Reporting Act ("FCRA") is defined as any written, oral, or other communication of any information by a consumer reporting agency bearing on a consumer's credit worthiness, credit standing, credit capacity, character, general reputation, personal characteristics, or mode of living, which is used or expected to be used, or collected in whole or in part for the purpose of serving as a factor in establishing the consumer's eligibility for several delineated purposes. In this instance, it may include, but is not limited to, verification of educational background, prior employment records, public records, military records, credit history and criminal records.

In addition, JPMorgan Chase may procure, or cause to be prepared, an investigative consumer report on you, which may include information obtained from personal interviews as to your character, general reputation, personal characteristics, and mode of living, whichever are applicable. You have the right to request a disclosure, upon written request within a reasonable period of time after receipt of this disclosure, regarding the nature and scope of the investigation requested, to the extent an investigative consumer report pertaining to you is procured. You also have the right request the written summary of the rights of the consumer prepared pursuant to section 609(c) of the FCRA, which is being provided.

Authorization

By signing below, I agree that I have carefully read the above notice and I authorize the procurement of a consumer report and an investigative consumer report. I also acknowledge that this authorization shall remain in effect throughout my assignment to JPMorgan Chase, with no need to request a subsequent authorization.

As set forth in the employment application, arrest and conviction screenings, as well as all screenings, are conducted in accordance with applicable law.

Please choose a signature method.*

I will provide a mouse or touchpad signature (preferred method if possible).

Please continue your signature.

Clear

Apply Signature

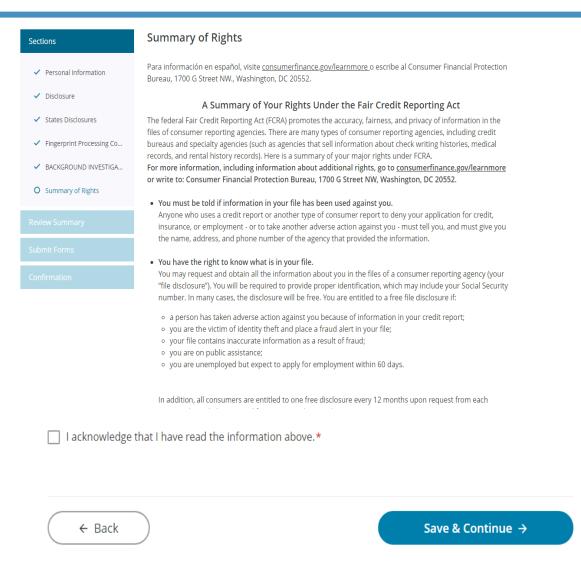
I will print and fax a signed copy of this authorization.



Step 13:

Read and acknowledge the Summary of Rights document.

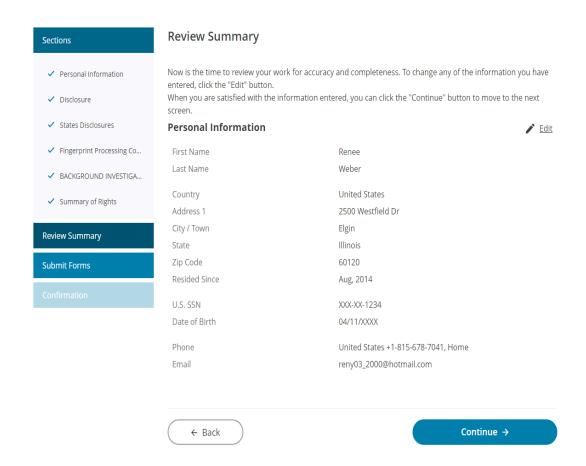
Select Save and Continue



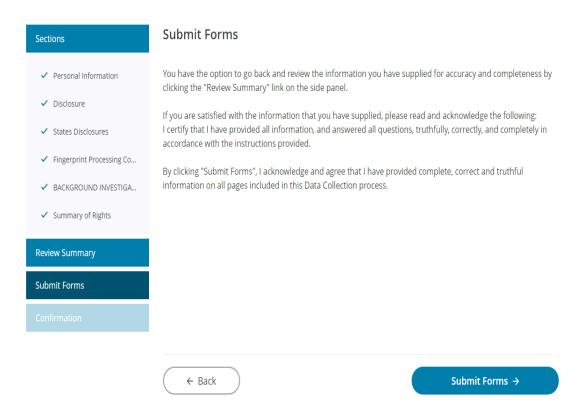


Step 14:

Review that all information is accurate



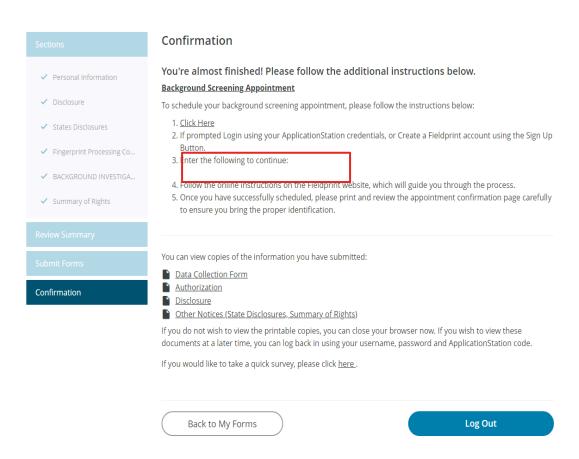
Step 15: Submit Forms



Step 16:

Follow the link to schedule Fingerprint appointment.

Be sure to capture the Fieldprint Code listed as you will need that to schedule the appointment





Step 1:

Enter in the Fieldprint code that was provided at the end of Application Station process

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A Fieldprint Code is required to continue. If you do not have a Fieldprint Code, please contact the employer or organization that directed you to this website.

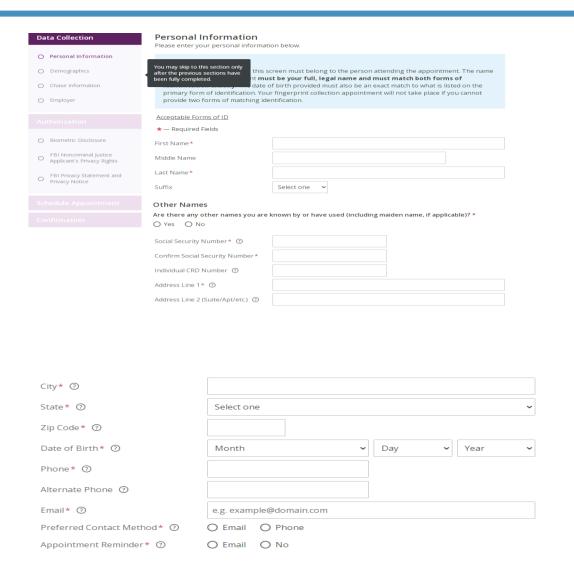
ëeldprint Code*		
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Continue

Step 2:

Fill out personal information and select Continue

Note: The name provided for the appointment must be your full, legal name and must match both forms of identification exactly

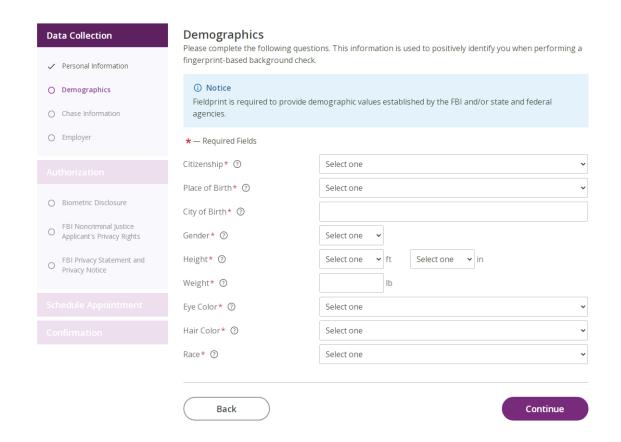


Cancel & Start New

Continue

Step 3:

Fill out required demographic information



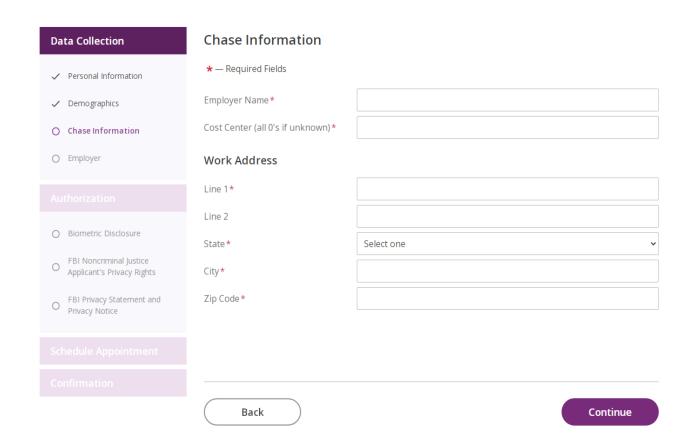
Step 4:

Fill out required Chase information and select Continue

Employer Name: JPMorgan Chase

Cost Center: See Supplier for correct Cost Center **YOU MUST ENTER IN A VALID COST CENTER**

Work Address: Enter in work location, if assignment requires you to work from home, can use the nearest branch or data center

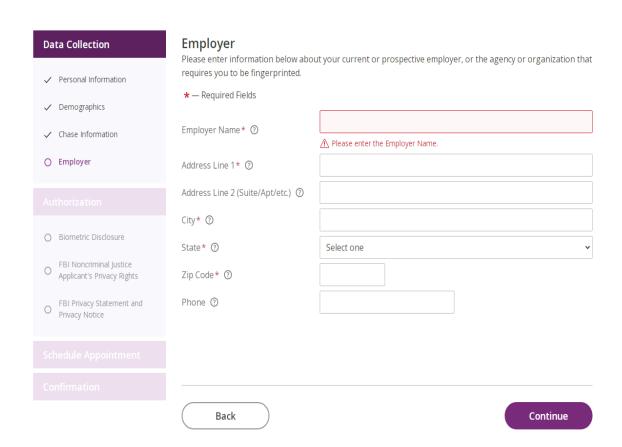


Step 5:

Fill out required Employer information and select Continue

Employer Name: This would be the agency that employs you

Work Address: Enter in work location, if assignment requires you to work from home, can use the nearest branch or data center



Step 6:

Read and acknowledge the Biometric Disclosure

Select Continue

Biometric Disclosure Data Collection ★ — Required Fields ✓ Personal Information State Required Biometric Information Disclosure and Authorization ✓ Demographics Please be advised that your fingerprints will be collected, stored, and used in connection with your contract and/or employment with organization requesting your fingerprints ("ORGANIZATION"). Such collection, storage, and use of your fingerprints may occur at any time after the company receives your written Chase Information authorization, including during the hiring process, as well as during the course of your contract and/or employment with ORGANIZATION or for volunteering/licensing, as the case may be, where permitted by law ("Stated Purposes"). ✓ Employer Your fingerprints are being collected and used in order to obtain Criminal History Record Information (CHRI) from state governments and/or agencies in connection with your contract and/or employment or volunteering with ORGANIZATION, or for licensing, as the case may be. Authorization Your fingerprints and any information obtained using your fingerprints will be retained and stored by Fieldprint, Inc., and will be permanently destroyed minimally after three (3) years of your last interaction with Fieldprint, Inc. In some instances, we may retain your fingerprints for less than three (3) years or O Biometric Disclosure indefinitely, based on the requirements of our clients, which may be regulatory or otherwise. For the exact retention period for your particular purpose, please contact us at (888) 472-8918. You may view Fieldprint, Inc.'s Privacy Policy, on the retention and destruction of biometric information FBI Noncriminal Justice https://www.fieldprint.com/privacy-policy/ Applicant's Privacy Rights Authorization to Obtain and Disclose Biometric Information FBI Privacy Statement and By signing below, I hereby authorize Fieldprint, Inc. to collect, store, and use my fingerprints, and further authorize Fieldprint, Inc. to disclose and use my O Privacy Notice fingerprints to obtain criminal background information in connection with my Stated Purposes. By signing below, I further authorize Fieldprint, Inc. to share my fingerprint information, criminal results, and any other information obtained using my fingerprints with ORGANIZATION for the Stated Purposes. By signing below, I acknowledge and agree that this authorization to obtain and disclose/share my biometric information, criminal results, and any other information obtained using my fingerprints, is valid now as well throughout the course of my contract, employment, volunteering, and/or licensing, as may be applicable, with ORGANIZATION, where permitted by law. TO USE THIS SERVICE, YOU CERTIFY UNDER PENALTY OF LAW, THAT YOU ARE THE SAME PERSON WHO IS BEING FINGERPRINTED, WHO IS REVIEWING ALL OF THE APPLICABLE NOTICES AND COMPLETING THE APPLICABLE FORMS. IT IS STRICTLY PROHIBITED FOR ANYONE ELSE TO PROCEED FURTHER EXCEPT THE PERSON WHO IS BEING FINGERPRINTED, UNLESS YOU HAVE WRITTEN APPROVAL FOR SPECIAL CIRCUMSTANCES, SUCH AS A DISABILITY, FROM FIELDPRINT, INC. OR THE REQUESTING ORGANIZATION/AGENCY. ☐ I agree * Your Full Name Renee Weber Today's date Back Continue



Step 7:

Read and acknowledge the FBI Noncriminal Justice Applicant's Privacy Rights document

Select Continue

Data Collection Personal information Demographics Chase Information Employer Authorization Biometric Disclosure FBI Noncriminal Justice Applicant's Privacy Rights FBI Privacy Statement and Privacy Notice Schedule Appointment

FBI Noncriminal Justice Applicant's Privacy Rights

* - Required Fields

NONCRIMINAL JUSTICE APPLICANT'S PRIVACY RIGHTS

As an applicant who is the subject of a national fingerprint-based criminal history record check for a noncriminal justice purpose (such as an application for employment or a license, an immigration or naturalization matter, security clearance, or adoption), you have certain rights which are discussed below. All notices must be provided to you in writing. [4] These obligations are pursuant to the Privacy Act of 1974, Title 5, United States Code (U.S.C.) Section 552a, and Title 28 Code of Federal Regulations (CFR), 50.12, among other authorities.

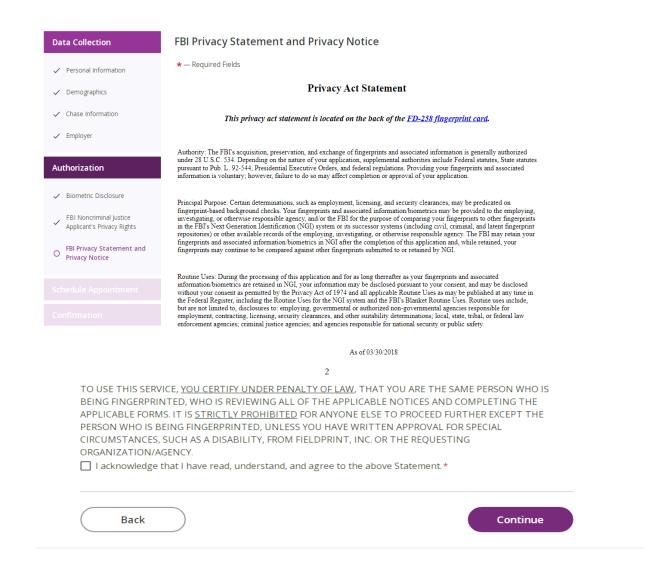
- You must be provided an adequate written FBI Privacy Act Statement (dated 2013 or later) when you submit your fingerprints
 and associated personal information. This Privacy Act Statement must explain the authority for collecting your fingerprints
 and associated information and whether your fingerprints and associated information will be searched, shared, or retained, [2]
- You must be advised in writing of the procedures for obtaining a change, correction, or update of your FBI criminal history record as set forth at 28 CFR 16.34.
- You must be provided the opportunity to complete or challenge the accuracy of the information in your FBI criminal history record (if you have such a record).
- If you have a criminal history record, you should be afforded a reasonable amount of time to correct or complete the record (or decline to do so) before the officials deny you the employment, license, or other benefit based on information in the FBI criminal history record.
- If agency policy permits, the officials may provide you with a copy of your FBI criminal history record for review and possible challenge. If agency policy does not permit it to provide you a copy of the record, you may obtain a copy of the record by submitting fingerprints and a fee to the FBI. Information regarding this process may be obtained at https://www.fbi.gov/services/cjis/identity-history-summary-checks and https://www.edo.cjis.gov.
- If you decide to challenge the accuracy or completeness of your FBI criminal history record, you should send your challenge to the agency that contributed the questioned information to the FBI. Alternatively, you may send your challenge directly to the FBI by submitting a request viahttps://www.edo.cjis.gov. The FBI will then forward your challenge to the agency that contributed the questioned information and request the agency to verify or correct the challenged entry. Upon receipt of an official communication from that agency, Kee FBI will make any necessary changes/corrections to your record in accordance with the information supplied by that a gency. (See 28 CFR 16.30 through 16.34.)
- You have the right to expect that officials receiving the results of the criminal history record check will use it only for authorized purposes and will not retain or disseminate it in violation of federal statute, regulation or executive order, or rule, procedure or standard established by the National Crime Prevention and Privacy Compact Council, 39

TO USE THIS SERVICE, YOU CERTIFY UNDER PENALTY OF LAW, THAT YOU ARE THE SAME PERSON WHO IS BEING FINGERPRINTED, WHO IS REVIEWING ALL OF THE APPLICABLE NOTICES AND COMPLETING THE APPLICABLE FORMS. IT IS <u>STRICTLY PROHIBITED</u> FOR ANYONE ELSE TO PROCEED FURTHER EXCEPT THE PERSON WHO IS BEING FINGERPRINTED, UNLESS YOU HAVE WRITTEN APPROVAL FOR SPECIAL CIRCUMSTANCES, SUCH AS A DISABILITY, FROM FIELDPRINT, INC. OR THE REQUESTING ORGANIZATION/AGENCY.

Back	Continue

Step 8:

Read and acknowledge the FBI Privacy Statement



Find

Fieldprint Steps

Step 9:

Enter in an address to find the nearest Fieldprint location

Select Find

Fieldprint Location

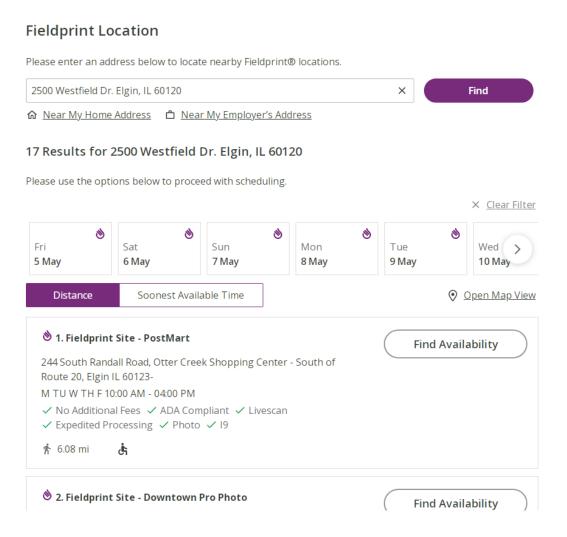
Please enter an address below to locate nearby Fieldprint $\ensuremath{\mathfrak{B}}$ locations.

 ${\color{red} \underline{ {\color{black} \Lambda}}}$ Please enter the Location.

Step 10:

Choose the location that is convenient in distance

Select Find Availability



Step 11:

Choose the date and time for appointment

Select Continue

Fieldprint Location

Back to 17 Results

Schedule Appointment

Fieldprint Site - PostMart, 244 South Randall Road, Otter Creek Shopping Center - South of Route 20, Elgin IL 60123-

M TU W TH F 10:00 AM - 04:00 PM

∱ 6.08 mi

(i) Notice

Once an appointment is scheduled, it may not be changed or cancelled less than 24 hours before the appointment time without incurring a charge.

★ — Required Fields

Available Date * May • 8 • 2023 • Part of day * Morning (before 12 PM) • 10:40 AM •

Schedule Appointment

(i) Notice

Once an appointment is scheduled, it may not be changed or cancelled less than 24 hours before the appointment time without incurring a charge.

Date and Time:

Location:

Stieldprint Site - PostMart

244 South Randall Road, Otter Creek Shopping Center - South of Route 20, Elgin IL

60123-

May 8, 2023 10:40 AM

Step 12:

Confirm the date, time and location of appointment

Select Finish Scheduling

Back

Finish Scheduling

What to Bring to Your Appointment?



Original Documents are required. Photocopies will not be accepted.

- Please provide your appointment number to the technician at the time of your appointment. You may print
 this appointment confirmation page or bring with you via phone or email.
- For purposes of confirming your identity for your appointment, you must present one form of a current, valid, unexpired government-issued photo ID.

If you do not bring two valid, unexpired, acceptable forms of ID, your appointment cannot be completed. The name provided for the appointment must match both forms of identification and the date of birth must be on the primary form of ID, and must match exactly.

Identification required to complete your appointment

Primary ID for Fingerprinting

- State-Issued driver's license
- State-issued non-driver identity
- U.S. Passport / Passport Card
- Military Identification Card
- DOD Common Access Card
- · Work Visa w/ photo

- Global Entry Card
- Native American Tribal ID Card
- Permanent Resident Card (I-551)
- I-766 Employment Authorization Card
- · Foreign Passport
- · Foreign Driver's License

Secondary ID for Fingerprinting

- · State-Issued driver's license
- State-Issued non-driver identity
- U.S. Passport / Passport Card
- Military identification Card
- Bank Statement/Paycheck Stub
- Utility Bill / Insurance Card
- Credit Card/Debit Card
- Marriage Certificate
- Birth Certificate
- · School ID w/ Photograph
- Social Security Card
- Vehicle Registration/Title
- Voter Registration Card

- US Dept of Veteran Affairs Card
- Draft Record
- Transportation Worker ID Credential (TWIC Card)
- · Certificate of Citizenship
- · Certificate of Naturalization
- · Native American Tribal ID Card
- Permanent Resident Card (I-551)
- DOD Common Access Card
- Work Visa w/ photo
- Global Entry Card
- I-766 Employment Authorization Card
- Foreign Passport
- Foreign Driver's License

Reschedule or Cancel Renee Weber Appointment (#15813242)

Please note that once an appointment is made, you may not make a change or cancel less than 24 hours before the appointment time without incurring a charge. If you need to reschedule your appointment or cancel, please click the corresponded button below or call $\underline{877-614-4364}$

If you decide to reschedule your appointment in the future, please return to $\underline{\text{schedule2,fieldprint.com}}$, log in as an existing user, and click on the Reschedule button to make a new appointment.

Cancel Appointment

Reschedule

Step 13:

You will receive a confirmation notification as well as some instructions on what you need to bring to the appointment

Date and Time: Monday, May 8, 2023 10:40 AM

Location: Site - PostMart

244 South Randall Road, Otter Creek Shopping Center - South of Route 20,

Elgin IL 60123-

Need Assistance?

For technical issues regarding your Demographic Profile Application, please contact: Application Station Help: 1-888-291-1369 ext. 2006

For technical issues regarding your fingerprint appointment, please contact: Fieldprint Help: 1-877-614-4362

For general questions, please contact the JPMC Global Workforce Screening Team: GWS.Contingent.Workers@chase.com